



**FYB2021 Capital Fund Program (CFP)  
HUD Annual Plan**  
July 1, 2021 – June 30, 2022

**5-Year Rolling Plan**  
FYB2021 – FYB2024

**DRAFT**



Resolution:  
Date:

*This document is subject to change during the 45-day comment period.*

**Roanoke Housing Authority**  
**5-Year Plan (FYB2021-FYB2025) and CFP FYB2021 Plan**

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## Section A

### Submission Requirement Checklist

**Disbursement of Capital Funds.** As with other PHAs, an MTW PHA may requisition Capital Funds from HUD only when such funds are due and payable, unless HUD approves another payment schedule. *Note:* Under MTW block grant authority, an MTW PHA may draw down and use its Capital Funds for a much broader range of activities than a non-MTW PHA. However, under the MTW Agreement, an MTW PHA may not accelerate drawdowns of funds in order to fund reserves.

**Exhibit 3.1. Capital Fund Submission: PHAs other than MTW PHAs**

<b>ACC Amendments: Three originals, signed and dated</b>	<b>Yes</b>	<b>N/A</b>
ACC Amendment for Capital Fund formula grant	COCC X	
ACC Amendment for First Increment RHF Grant		X
ACC Amendment for Second Increment RHF Grant		X
<b>CFP Budget and Capital Fund 5-Year Action Plan</b>	<b>Yes</b>	<b>N/A</b>
CFP Budget (Form HUD-50075.1 (Parts I and II))	X	
5-Year Action Plan (fixed or rolling) (Form HUD-50075.2)	X	
P&E Report (Form HUD-50075.1), if troubled or not in compliance with 24 CFR Part 905.306		X
Statement of Significant Amendment	X	
<b>Certifications</b>	<b>Yes</b>	<b>N/A</b>
Lobbying Form – SFLLL	X	
Certification of Compliance w/Public Hearing: (copy of one of the following) Non-Qualified PHAs - Form HUD-50077 Qualified PHAs - A statement certifying that the PHA conducted a public hearing in compliance with 24 CFR Part 905, signed and dated by the executive director.	Qualified X	
Certification of Payments to Influence Federal Transactions (Form HUD-50071)	X	
Civil Rights Certification (copy of one of the following) Non-Qualified PHAs - Form HUD-50077 Qualified PHAs - Form HUD-50077-CR	Qualified X	
<b>Other</b>	<b>Yes</b>	<b>N/A</b>
Recommendations made by the RAB(s) and a description of how the PHA will address the recommendations (if applicable)	X	
Board Resolution approving 5-Year Action Plan (if PHA is troubled)		X
Environmental Review Exemption Letter from City of Roanoke, Alabama	X	
<b>Not submitted, but on file at the PHA</b>	<b>Yes</b>	<b>N/A</b>
Evidence of Completion of Environmental Review for proposed activities	X	
Physical Needs Assessment (PNA)		X
Energy Audit (every 5 years)	X	

Section B

HUD Form SF-LLL Lobbying Form

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Roanoke Housing Authority 231 Avenue A Roanoke, AL 36274  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  N/A  Congressional District, if known:	
<b>6. Federal Department/Agency:</b>  US Department of Urban Developtment	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: 14.872	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b>  \$	
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI):	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI):	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: Sharon Tolbert Title: Chief Executive Officer Telephone No.: 334-821-2262 ext 233      Date: _____	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## Section C

HUD Form HUD 50071

# Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing

Applicant Name

Roanoke Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Sharon Tolbert

Title

Chief Executive Officer

Signature

Date (mm/dd/yyyy)



## Section D

### Environmental Review

Roanoke  
Housing Authority  
Managed by Auburn HA

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February 2, 2021

City of Roanoke  
Mayor Jill Patterson  
PO Box 1270  
Roanoke, AL 36274

Dear Mayor Patterson,

Please find attached Housing and Urban Development form HUD-50075.1 and HUD-50075.2 along with Roanoke Housing Authority draft Plan and HUD Certifications.

Attachments: CFP 5-Yr Plan FYB 2020-2024, CFP 50075.1 FYB 2021, CFP 50075.1 FYB 2025, and the Roanoke Housing Authority Annual Plan.

I am requesting an environmental exemption letter to comply with 24CFR Part58.34 (a) (12).

I have attached a previous letter provided from the City of Roanoke for your review.

If possible, please mail the exemption letter to Roanoke Housing Authority, Attn: Shannon Walters, 231 Avenue A, Roanoke, Alabama 36274. If you would prefer to email my email address is [sbarrios@auburnhousingauth.org](mailto:sbarrios@auburnhousingauth.org).

I can be reached at 334-864-8391 if you have questions.

Please let me know if I can supply you with any additional information.

Thank you for your assistance with this matter.

Sincerely,

*Shannon Walters*

Shannon Walters  
Lafayette and Roanoke Housing Authority  
Interim Regional Property Manager

231 Avenue A, Roanoke, AL 36274  
Telephone: (334) 863-4513 • Fax: (334) 863-4630  
TDD/TTY: 800-548-2546 • [www.auburnhousingauth.org](http://www.auburnhousingauth.org)



## Section E

### Significant Amendment



## **Significant Amendment or Modification**

### **Substantial Deviation**

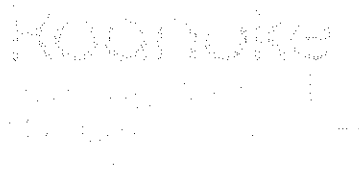
RHA considers a substantial deviation from the approved Plan to be changes from the Plan that impacts over 50% of our participants in the specific program affected. This impact could be for financial or policy implementation reasons. Mandated changes imposed by HUD or internal procedural changes are not a substantial deviation.

### **Significant Amendment/Modification**

RHA considers a significant amendment/modification from the approved Plan to be changes from the Plan that impacts over 50% of our participants in the specific program affected. This impact could be for financial or policy implementation reasons. Mandated changes imposed by HUD or internal procedural changes are not considered a significant amendment/modification.

## Section F

### RAD Specific Significant Amendment



### **RAD Specific Amendment Definition**

**As part of the Rental Assistance Demonstration (RAD), Roanoke Housing Authority is redefining the definition of a substantial deviation from the PHA Plan to exclude the following RAD-specific items:**

- a. Changes to the Capital Fund Budget produced as a result of each approved RAD conversion, regardless of whether the proposed conversion will include use of additional Capital Funds;**
- b. Changes to the construction and rehabilitation plan for each approved RAD conversion; and**
- c. Changes to the financing structure for each approved RAD conversion.**
- d. Changes from PBV to PBRA or from PBRA to PBV based on financial feasibility.**

Section G

HUD Form 50077-CR

**Civil Rights Certification**  
*(Qualified PHAs)*

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
OMB Approval No. 2577-0226  
Expires 02/29/2016

**Civil Rights Certification**

**Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official, I approve the submission of the 5-Year PHA Plan for the PHA of which this document is a part, and make the following certification and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the public housing program of the agency and implementation thereof:*

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those program, addressing those impediments in a reasonable fashion in view of the resources available and working with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and by maintaining records reflecting these analyses and actions.

Roanoke Housing Authority

PHA Name

AL065

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official: **Terry Kennedy**

Title: **Chairperson**

Signature

Date:



## Section H

EPIC Approved 5-Year (FYB2020 – FYB2024) CFP Action Plan

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Status: Approved      Approval Date: 05/18/2020      Approved By: THOMAS, SHAUNIQUEA

Part I: Summary

PHA Name : Housing Authority of the City of Roanoke, AL

Locality (City/County & State)  Original 5-Year Plan       Revised 5-Year Plan (Revision No: )

PHA Number: AL065

A. Development Number and Name	Work Statement for Year 1 2020	Work Statement for Year 2 2021	Work Statement for Year 3 2022	Work Statement for Year 4 2023	Work Statement for Year 5 2024
HARVEY ENLOE HOMES (AL065000001)	\$107,468.00	\$107,468.00	\$107,468.00	\$107,468.00	\$107,468.00

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Part II: Supporting Pages - Physical Needs Work Statements (S)

Work Statement for Year 1 2020

Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
	HARVEY ENLOE HOMES (AL065000001)			\$107,468.00
ID0001	Harvey Enlow Homes 2020(Dwelling Unit - Conversion (1480))	RAD Conversion Yr 2 FORCE ACCOUNT LABOR		\$107,468.00
	Subtotal of Estimated Cost			\$107,468.00

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Part II: Supporting Pages - Physical Needs Work Statements (S)

Work Statement for Year 2 2021

Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
ID0007	Harvey Enlow Homes 2021(Dwelling Unit - Conversion (1480))	RAD Conversion Yr 3 FORCE ACCOUNT LABOR		\$107,468.00
	Subtotal of Estimated Cost			\$107,468.00

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Part II: Supporting Pages - Physical Needs Work Statements (S)

Work Statement for Year 3 2022

Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
	HARVEY ENLOE HOMES (AL065000001)			\$107,468.00
ID0008	Harvey Enlow Homes 2022(Dwelling Unit - Conversion (1480))	RAD Conversion Yr 4 FORCE ACCOUNT LABOR		\$107,468.00
	Subtotal of Estimated Cost			\$107,468.00

Part II: Supporting Pages - Physical Needs Work Statements (S)

Work Statement for Year		2023		
Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
ID0009	HARVEY ENLOW HOMES (ALD065000001)			\$107,468.00
	Harvey Enlow Homes 2023(Dwelling Unit - Conversion (1480),RAD Funds Pre Closing (1480))	RAD Conversion Yr 5 FORCE ACCOUNT LABOR		\$107,468.00
	Subtotal of Estimated Cost			\$107,468.00

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Part II: Supporting Pages - Physical Needs Work Statements (s)

Work Statement for Year 5 2024

Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
ID0010	HARVEY ENLOW HOMES (AL065000001)	RAD Conversion Yr 6 FORCE ACCOUNT LABOR		\$107,468.00
	Subtotal of Estimated Cost			\$107,468.00

Section I

Form HUD-53012

FYB2021 CFP ACC Amendment



**2021 Capital Fund**

**Capital Fund Program  
(CFP) Amendment  
To The Consolidated Annual Contributions  
Contract (form HUD-53012)**

U.S. Department of Housing  
and Urban Development  
Office of Public and Indian Housing

Whereas, (Public Housing Authority) Housing Authority of the City of Roanoke,  
AL AL065 (herein called the "PHA")  
and the United States of America, Secretary of Housing and Urban Development (herein called "HUD") entered into Consolidated Annual Contributions  
Contract(s) ACC(s) Numbers(s) (On File) dated (On File)

Whereas, in accordance with Section 235 of Public Law 116-6, Division H, Title II,

Whereas, HUD has agreed to provide CFP assistance, upon execution of this Amendment, to the PHA in the amount to be specified below for the purpose of assisting the PHA in carrying out development, capital and management activities at existing public housing projects in order to ensure that such projects continue to be available to serve low-income families. HUD reserves the right to provide additional CFP assistance in this FY to the PHA. HUD will provide a revised ACC Amendment authorizing such additional amounts.

\$ \$112,544.00 for Fiscal Year 2021 to be referred to under Capital Fund Grant Number AL09P06550121  
PHA Tax Identification Number (TIN): On File DUNS Number: On File

Whereas, HUD and the PHA are entering into the CFP Amendment Number On File

Now Therefore, the ACC(s) is (are) amended as follows:

- |   |  |
|---|--|
| <p>1. The ACC(s) is (are) amended to provide CFP assistance in the amount specified above for development, capital and management activities of PHA projects. This CFP Amendment is a part of the ACC(s).</p> <p>2. The PHA must carry out all development, capital and management activities in accordance with the United States Housing Act of 1937 (the Act), 24 CFR Part 905 (the Capital Fund Final rule) as well as other applicable HUD requirements, except that the limitation in section 9(g)(1) of the Act is increased such that of the amount of CFP assistance provided for under this CFP amendment only, the PHA may use no more than 25 percent for activities that are eligible under section 9(e) of the Act only if the PHA's HUD-approved Five Year Action Plan provides for such use; however, if the PHA owns or operates less than 250 public housing dwelling units, such PHA may continue to use the full flexibility in section 9(g)(2) of the Act.</p> <p>3. The PHA has a HUD-approved Capital Fund Five Year Action Plan and has complied with the requirements for reporting on open grants through the Performance and Evaluation Report. The PHA must comply with 24 CFR 905.300 of the Capital Fund Final rule regarding amendment of the Five Year Action Plan where the PHA proposes a Significant Amendment to the Capital Fund Five Year Action Plan.</p> <p>4. For cases where HUD has approved a Capital Fund Financing Amendment to the ACC, HUD will deduct the payment for amortization scheduled payments from the grant immediately on the effective date of this CFP Amendment. The payment of CFP funds due per the amortization scheduled will be made directly to a designated trustee within 3 days of the due date.</p> <p>5. Unless otherwise provided, the 24 month time period in which the PHA must obligate this CFP assistance pursuant to section 9(j)(1) of the Act and 48 month time period in which the PHA must expend this CFP assistance pursuant to section 9(j)(5) of the Act starts with the effective date of this CFP amendment (the date on which CFP assistance becomes available to the PHA for obligation). Any additional CFP assistance this FY will start with the same effective date.</p> <p>6. Subject to the provisions of the ACC(s) and paragraph 3, and to assist in development, capital and management activities, HUD agrees to disburse to the PHA or the designated trustee from time to time as needed up to the amount of the funding assistance specified herein.</p> | <p>7. The PHA shall continue to operate each public housing project as low-income housing in compliance with the ACC(s), as amended, the Act and all HUD regulations for a period of twenty years after the last disbursement of CFP assistance for modernization activities for each public housing project or portion thereof and for a period of forty years after the last distribution of CFP assistance for development activities for each public housing project and for a period of ten years following the last payment of assistance from the Operating Fund to each public housing project. Provided further that, no disposition of any project covered by this amendment shall occur unless approved by HUD.</p> <p>8. The PHA will accept all CFP assistance provided for this FY. If the PHA does not comply with any of its obligations under this CFP Amendment and does not have its Annual PHA Plan approved within the period specified by HUD, HUD shall impose such penalties or take such remedial action as provided by law. HUD may direct the PHA to terminate all work described in the Capital Fund Annual Statement of the Annual PHA Plan. In such case, the PHA shall only incur additional costs with HUD approval.</p> <p>9. Implementation or use of funding assistance provided under this CFP Amendment is subject to the attached corrective action order(s).<br/>(mark one) : Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>10. The PHA is required to report in the format and frequency established by HUD on all open Capital Fund grants awarded, including information on the installation of energy conservation measures.</p> <p>11. If CFP assistance is provided for activities authorized pursuant to agreements between HUD and the PHA under the Rental Assistance Demonstration Program, the PHA shall follow such applicable statutory authorities and all applicable HUD regulations and requirements. For <i>total conversion</i> of public housing projects, no disposition or conversion of any public housing project covered by these terms and conditions shall occur unless approved by HUD. For <i>partial conversion</i>, the PHA shall continue to operate each non-converted public housing project as low-income housing in accordance with paragraph 7.</p> <p>12. CFP assistance provided as an Emergency grant or a Safety and Security grant shall be subject to a 12 month obligation and 24 month expenditure time period. CFP assistance provided as a Natural Disaster grant shall be subject to a 24 month obligation and 48 month expenditure time period. The start date shall be the date on which such funding becomes available to the PHA for obligation. The PHA must record the Declaration(s) of Trust within 60 days of the effective date or HUD will recapture the grant funding.</p> |
|---|--|

The parties have executed this CFP Amendment, and it will be effective on February 23, 2021. This is the date on which CFP assistance becomes available to the PHA for obligation.

U.S. Department of Housing and Urban Development By <u>/s/ Robert E. Mulderig</u> Date: 02/23/2021 Title Deputy Assistant Secretary, Office of Public Hsg Investments	PHA (Executive Director or authorized agent) By _____ Date: _____ Title
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Section J  
CFP FYB2021  
Form HUD-50075.1

Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0157  
 Expires 3/31/2020

<b>Part I: Summary</b>					
PHA Name: AL065 Roanoke Housing Authority (Forced Account Labor)		Grant Type and Number CFP Capital Fund Program Grant No: AL09P06550121 Replacement Housing Factor Grant No: N/A Date of CFFP: N/A			FFY of Grant: 2021 FFY of Grant Approval: 2021
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:    ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1480 General Capital Activity	\$112,544.00			
6	1492 Moving to Work Demonstration				
7	1501 Collateralization Expense / Debt Service Paid by PHA				
8	1503 RAD-CFP				
9	1504 RAD Investment Activity				
10	1505 RAD-CPT				
11	9000 Debt Reserves				
12	9001 Bond Debt Obligation paid Via System of Direct Payment				
13	9002 Loan Debt Obligation paid Via System of Direct Payment				
14	9900 Post Audit Adjustment				

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.



Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0157  
 Expires 3/31/2020

<b>Part I: Summary</b>					
PHA Name: AL065 Roanoke Housing Authority	Grant Type and Number CFP Capital Fund Program Grant No: Not Available AL09P06550121 Replacement Housing Factor Grant No: Date of CFFP:	FFY of Grant: 2021 FFY of Grant Approval: 2021			
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:                      ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost <sup>1</sup>	
		Original	Revised <sup>2</sup>	Obligated	Expended
15	Amount of Annual Grant:: (sum of lines 2 - 14)	\$112,544.00			
16	Amount of line 20 Related to LBP Activities				
17	Amount of line 20 Related to Section 504 Activities				
18	Amount of line 20 Related to Security - Soft Costs				
19	Amount of line 20 Related to Security - Hard Costs				
20	Amount of line 20 Related to Energy Conservation Measures				
Signature of Executive Director		Date		Signature of Public Housing Director	
				Date	

<sup>1</sup> To be completed for the Performance and Evaluation Report.  
<sup>2</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.  
<sup>3</sup> PHAs with under 250 units in management may use 100% of CFP Grants for operations.





Annual Statement/Performance and Evaluation Report  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 OMB No. 2577-0157  
 Expires 3/31/2020

Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name: AL065 Roanoke Housing Authority				Federal FFY of Grant: 2021	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
Harvey Enlow Homes	2021				
Start Date 2021					
Obligated Date 2023					
End Date 2025					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.





Part III: Implementation Schedule for Capital Fund Financing Program					
PHA Name:				Federal FFY of Grant:	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
Harvey Enlow Homes	2021				
Start Date 2021					
Obligated Date 2023					
End Date 2025					
RAD PBRA Conversion					

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Section K

Form HUD-50075.2

CFP 5-Year Rolling Plan

FYB2021 – FYB2025

Capital Fund Program - Five-Year Action Plan

Status: Draft

Approval Date:

Approved By:

<b>Part I: Summary</b>						
PHA Name : Housing Authority of the City of Roanoke, AL			Locality (City/County & State)			
PHA Number: AL065			<input checked="" type="checkbox"/> Original 5-Year Plan		<input type="checkbox"/> Revised 5-Year Plan (Revision No: )	
A.	Development Number and Name	Work Statement for Year 1 2021	Work Statement for Year 2 2022	Work Statement for Year 3 2023	Work Statement for Year 4 2024	Work Statement for Year 5 2025
	HARVEY ENLOE HOMES (AL065000001)	\$112,544.00	\$108,250.00	\$108,250.00	\$108,250.00	\$108,250.00

Capital Fund Program - Five-Year Action Plan

Part II: Supporting Pages - Physical Needs Work Statements (s)				
Work Statement for Year		2021		
Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
	HARVEY ENLOW HOMES (AL065000001)			\$112,544.00
ID0007	Harvey Enlow Homes 2021(Dwelling Unit - Conversion (1480))	RAD Conversion Yr 3 FORCE ACCOUNT LABOR		\$112,544.00
	Subtotal of Estimated Cost			\$112,544.00

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Part II: Supporting Pages - Physical Needs Work Statements (s)				
Work Statement for Year		2022		
Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
	HARVEY ENLOE HOMES (AL065000001)			\$108,250.00
ID0008	Harvey Enlow Homes 2022(Dwelling Unit - Conversion (1480))	RAD Conversion Yr 4 FORCE ACCOUNT LABOR		\$108,250.00
	Subtotal of Estimated Cost			\$108,250.00

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Part II: Supporting Pages - Physical Needs Work Statements (s)				
Work Statement for Year		3	2023	
Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
	HARVEY ENLOW HOMES (AL065000001)			\$108,250.00
ID0009	Harvey Enlow Homes 2023(Dwelling Unit - Conversion (1480),RAD Funds Pre Closing (1480))	RAD Conversion Yr 5 FORCE ACCOUNT LABOR		\$108,250.00
	Subtotal of Estimated Cost			\$108,250.00

Capital Fund Program - Five-Year Action Plan

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 2577-0274  
 02/28/2022

Part II: Supporting Pages - Physical Needs Work Statements (s)				
Work Statement for Year		2024		
Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
	HARVEY ENLOE HOMES (AL065000001)			\$108,250.00
ID0010	Harvey Enlow Homes 2024(Dwelling Unit - Conversion (1480))	RAD Conversion Yr 6 FORCE ACCOUNT LABOR		\$108,250.00
	Subtotal of Estimated Cost			\$108,250.00



Part II: Supporting Pages - Physical Needs Work Statements (s)				
Work Statement for Year		5	2025	
Identifier	Development Number/Name	General Description of Major Work Categories	Quantity	Estimated Cost
	HARVEY ENLOW HOMES (AL065000001)			\$108,250.00
ID0011	Harvey Enlow Homes 2025(Dwelling Unit - Conversion (1480))	2025 CFP Force Account Labor RAD Conversion		\$108,250.00
	Subtotal of Estimated Cost			\$108,250.00

<b>PHA Code</b>	AL065
<b>PHA Name</b>	Housing Authority of the City of Roanoke, AL
<b>5-Year Action Plan Name</b>	5-Year Action Plan 2021-2025
<b>Plan Type</b>	Rolling

<b>Piggy Bank</b>			
<b>Year</b>	<b>Work Activity Cost</b>		
	<b>Estimated</b>	<b>Consumed</b>	<b>Balance</b>
2021	\$112,544.00	\$112,544.00	\$0.00
2022	\$108,250.00	\$108,250.00	\$0.00
2023	\$108,250.00	\$108,250.00	\$0.00
2024	\$108,250.00	\$108,250.00	\$0.00
2025	\$108,250.00	\$108,250.00	\$0.00
<b>Total</b>	\$545,544.00	\$545,544.00	\$0.00

**Shannon Barrios**

---

**From:** donotreply@hud.gov  
**Sent:** Wednesday, February 24, 2021 10:35 AM  
**To:** Shannon Barrios  
**Subject:** 5-Year Action Plan Submitted

Housing Authority of the City of Roanoke, AL—AL065 has submitted a 5-Year Action Plan in EPIC: 2021-2025 Original.

*You are receiving this email notification based on email preferences assigned to your account in HUD's EPIC system. If you no longer wish to receive these emails, please modify your preferences in EPIC. Instructions are provided in the EPIC User Manual available at:  
[https://www.hud.gov/program\\_offices/public\\_indian\\_housing/programs/ph/capfund](https://www.hud.gov/program_offices/public_indian_housing/programs/ph/capfund)*

Section L  
Public Hearing Certification

# Roanoke Housing Authority

## Certification of Compliance with Public Hearing

Roanoke Housing Authority conducted a public hearing in compliance with 24 CFR Part 905.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

It is important to note that these patients may be experiencing prolonged or severe illness that they would not have experienced had it not been for COVID-19.

Regardless of reason, a convalesced patient still needs a bed and hospitalized support care to get better. Because of the overwhelming amount of COVID-positive and COVID-convalesced patients at UAB Hospital at this time, it does strain the care that is able to be provided across all areas.

### Who are the convalesced?

At UAB Hospital, a COVID-convalesced person could be a range of patients. It could be a patient who has been transferred out of the COVID Intensive Care Unit but still needs round-the-clock support, or a patient who

different reason.

"There are some people who are completely asymptomatic and are here for a different reason, but have active COVID-19 illness and still get admitted for the original reason they sought medical care for," said Rachael Lee, M.D., UAB Hospital's health care epidemiologist. "However, a vast majority of these cases are people who are critically ill, meaning they are still on the ventilator and, unfortunately because of COVID-19, developed an inflammatory response. This presents when one's own immune system has revved up and then causes

ventilator, and so stay on the ventilator for long periods of time. After they get off the ventilator, they are weak and debilitated and need rehabilitation. This causes a long stay, initially impacted directly by COVID-19. These patients take a long time to heal."

A considerable number of patients developed inflammation in the heart and muscle lining. This can be troubling as inflammation in the heart can lead to long-lasting cardiac disease and failure.

Signs of kidney problems in patients with COVID-19 include high levels of protein in the



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## Roanoke Housing Authority Managed by Auburn HA Public Hearing Notice

Draft FYB2021 HUD Annual Plan and  
Draft FYB2021 CFP Annual Plan

The Roanoke Housing Authority ("RHA") is receiving public comments regarding the draft 2021 HUD Annual Plan ("Plan") and draft 2021 Capital Fund Program ("CFP") Annual Plan ("Plan"). The draft Plans are available for review on the Auburn Housing Authority's website at <https://www.auburnhousingauth.org/calendar-view?id=461>. The public review and comment period begins on January 22, 2021 and ends on March 8, 2021 at 5:00 pm CST.

A Public Hearing will be held on Monday, March 8, 2021 at 12:00 pm via Zoom (see below). Comments related to the 2021 HUD Annual Plan may be submitted during the Public Hearing or mailed. Written comments may be mailed to the Roanoke Housing Authority c/o Auburn Housing Authority, Attn: HUD 2021 Annual Plan Comments, 931 Booker Street, Auburn, AL 36832. Comments received after 5:00 pm CST on March 8, 2021 will not be accepted or considered.

Zoom Public Hearing Information

<https://zoom.us/j/98605160037>

Join via Phone: (312) 626-6799

Meeting ID: 986 0516 0037

If you have questions or require additional information, please contact Sharon Tolbert, CEO at (334) 821-2262 ext 233 or [stolbert@auburnhousingauth.org](mailto:stolbert@auburnhousingauth.org)



Housing >



Residents >



HCV/Section 8 >

# Roanoke Housing Authority Draft 2021 HUD PHA Annual Plan, Draft 5-Year CFP Plan and Draft FYB2021 CFP Plan - Public Hearing

*Roanoke Public Hearings*

Mar 08, 2021

Add to Calendar

Topic: Roanoke Housing Authority Draft 2021 HUD PHA Annual Plan, Draft 5-Year CFP Plan and Draft FYB2021 CFP Plan - Public Hearing  
Time: Mar 8, 2021, 12:00 PM Central Time (US and Canada)

Join Zoom Meeting

<https://zoom.us/j/98605160037>

Meeting ID: 986 0516 0037

One tap mobile

+13017158592,,98605160037# US (Washington D.C)

+13126266799,,98605160037# US (Chicago)

Dial by your location

+1 301 715 8592 US (Washington D.C)

+1 312 626 6799 US (Chicago)

+1 646 558 8656 US (New York)

+1 253 215 8782 US (Tacoma)

+1 346 248 7799 US (Houston)

+1 669 900 9128 US (San Jose)

Meeting ID: 986 0516 0037

Find your local number: <https://zoom.us/u/adIS7UMpDN>

Meeting will be recorded.

[Roanoke HA FYB2021 HUD Annual Plan - DRAFT](#)

[Roanoke HA FYB2021 CFP Plan - DRAFT](#)

# Roanoke

## Housing Authority

Managed by Auburn HA

### **Public Hearing Notice** **Draft FYB2021 HUD Annual Plan and Draft FYB2021 CFP Annual Plan**

The Roanoke Housing Authority (“RHA”) is receiving public comments regarding the draft 2021 HUD Annual Plan (“Plan”) and draft 2021 Capital Fund Program (“CFP”) Annual Plan (“Plan”). The draft Plans are available for review on the Auburn Housing Authority’s website at <https://www.auburnhousingauth.org/calendar-view?id=461>. The public review and comment period begins on January 22, 2021 and ends on March 8, 2021 at 5:00 pm CST.

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## Section M

### Resident Advisory Board

The RAB meeting will be held via Zoom due to COVID-19.

**Join Zoom Meeting**

<https://zoom.us/j/95771235751>

Meeting ID: 957 7123 5751

Call In (646) 558-8656

**Roanoke**  
**Housing Authority**  
Managed by Auburn HA

**Resident Advisory Board Meeting**

**Draft FYB2021 HUD PHA Annual Plan**  
**Draft 5-Year Rolling CFP**  
**Draft FYB2021 CFP Plan**

**February 8, 2021**  
**10:00 am**

**AGENDA**

- 1. Welcome and Introduction**
- 2. Roll Call** (*sign via DocuSign*)
- 3. Review Draft FYB2021 HUD PHA Annual Plan**
  - a. HUD Submission**
  - b. Local Review Docs.**
- 4. Review Draft Capital Fund Program (CFP) Plans**
  - a. Draft FYB2021 CFP Plan**
  - b. Draft Rolling 5-Year (FYB2021 – FYB2025) CFP**
- 5. RAB Comment Cards (due 02.25.21)**
- 6. Comments and Questions**
- 7. Closing Remarks**

## Section N

### Board Approval

The CFP FYB2021 Plan and CFP 5-Year (FYB2021 - FYB2025) Action Plan will be submitted to the Roanoke Housing Authority Board of Commissioners on March 10, 2021.

## Section 0

### Submission Approval

Section P

Energy Audit

The Energy Audit is on file.

## Section Q

### RAD Physical Condition Assessment (RPCA)

The RPCA is on file.